

**Note: Broker-dealers are required to disclose any material arrangements with the venues listed in each section below, including, but not limited to, any internalization or payment for order flow arrangements.**

## 01A

**SYNOVUS SECURITIES, INC.  
1137 1ST AVENUE, 2ND FLOOR  
COLUMBUS, GA 31901  
800 332 3403**

### **SEC Rule 606 Quarterly Report for the Quarter Ending December 31, 2019**

#### **Securities Listed on New York Stock Exchange**

##### **Summary Statistics:**

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	98.08%
Limit orders as percentage of total non-directed orders	1.57%
Other orders as percentage of total non-directed orders	0.35%

##### **Venues Receiving Significant Percentage of Total Non-Directed Orders:**

1. Citadel Securities LLC	52.14%
2. VIRTU Americas LLC	33.30%
3. G1 Execution Services, LLC	5.73%
4. National Financial Services LLC	4.12%
5. UBS Securities, LLC	2.06%
6. Two Sigma Securities, LLC	1.40%
7. New York Stock Exchange	0.64%
8. Cboe EDGX U.S. Equities Exchange	0.28%
9. NASDAQ Execution Services, LLC	0.21%
10. Synovus Securities Inc.	0.03%

##### **Information Concerning Significant Venues :**

###### 1. Citadel Securities LLC

###### Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	52.82%
Limit orders as percentage of total non-directed orders	16.40%
Other orders as percentage of total non-directed orders	20.00%

###### 2. VIRTU Americas LLC

###### Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	33.67%
Limit orders as percentage of total non-directed orders	11.20%
Other orders as percentage of total non-directed orders	29.09%

3. G1 Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	5.82%
Limit orders as percentage of total non-directed orders	1.20%
Other orders as percentage of total non-directed orders	1.82%

4. National Financial Services LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	4.15%
Limit orders as percentage of total non-directed orders	1.60%
Other orders as percentage of total non-directed orders	7.27%

5. UBS Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	2.07%
Limit orders as percentage of total non-directed orders	0.80%
Other orders as percentage of total non-directed orders	5.45%

6. Two Sigma Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	1.43%
Limit orders as percentage of total non-directed orders	0.00%
Other orders as percentage of total non-directed orders	0.00%

7. New York Stock Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	0.00%
Limit orders as percentage of total non-directed orders	37.20%
Other orders as percentage of total non-directed orders	14.55%

8. Cboe EDGX U.S. Equities Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	0.00%
Limit orders as percentage of total non-directed orders	16.40%
Other orders as percentage of total non-directed orders	5.45%

9. NASDAQ Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	0.00%
Limit orders as percentage of total non-directed orders	12.40%
Other orders as percentage of total non-directed orders	3.64%

10. Synovus Securities Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	0.03%
Limit orders as percentage of total non-directed orders	0.00%
Other orders as percentage of total non-directed orders	0.00%

## Securities Listed on The Nasdaq Stock Market

### Summary Statistics:

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	98.61%
Limit orders as percentage of total non-directed orders	1.20%
Other orders as percentage of total non-directed orders	0.19%

### Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. Citadel Securities LLC	53.21%
2. VIRTU Americas LLC	34.39%
3. G1 Execution Services, LLC	5.53%
4. National Financial Services LLC	2.85%
5. UBS Securities, LLC	2.03%
6. Two Sigma Securities, LLC	1.12%
7. NASDAQ Execution Services, LLC	0.54%
8. Cboe EDGX U.S. Equities Exchange	0.19%
9. Synovus Securities Inc.	0.09%
10. Cboe BZX U.S. Equities Exchange	0.02%

### Information Concerning Significant Venues :

#### 1. Citadel Securities LLC

##### Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	53.65%
Limit orders as percentage of total non-directed orders	21.36%
Other orders as percentage of total non-directed orders	25.00%

#### 2. VIRTU Americas LLC

##### Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	34.62%
Limit orders as percentage of total non-directed orders	13.59%
Other orders as percentage of total non-directed orders	43.75%

#### 3. G1 Execution Services, LLC

##### Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	5.60%
Limit orders as percentage of total non-directed orders	0.97%
Other orders as percentage of total non-directed orders	0.00%

#### 4. National Financial Services LLC

##### Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	2.86%
Limit orders as percentage of total non-directed orders	0.97%
Other orders as percentage of total non-directed orders	12.50%

5. UBS Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	2.04%
Limit orders as percentage of total non-directed orders	0.00%
Other orders as percentage of total non-directed orders	6.25%

6. Two Sigma Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	1.13%
Limit orders as percentage of total non-directed orders	0.00%
Other orders as percentage of total non-directed orders	0.00%

7. NASDAQ Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	0.00%
Limit orders as percentage of total non-directed orders	44.66%
Other orders as percentage of total non-directed orders	0.00%

8. Cboe EDGX U.S. Equities Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	0.00%
Limit orders as percentage of total non-directed orders	15.53%
Other orders as percentage of total non-directed orders	0.00%

9. Synovus Securities Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	0.09%
Limit orders as percentage of total non-directed orders	0.00%
Other orders as percentage of total non-directed orders	0.00%

10. Cboe BZX U.S. Equities Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	0.00%
Limit orders as percentage of total non-directed orders	1.94%
Other orders as percentage of total non-directed orders	0.00%

## Securities Listed on NYSE American or Regional Exchanges

### Summary Statistics:

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	97.83%
Limit orders as percentage of total non-directed orders	0.30%
Other orders as percentage of total non-directed orders	1.87%

### Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. Citadel Securities LLC	55.92%
2. VIRTU Americas LLC	31.67%
3. G1 Execution Services, LLC	5.69%
4. UBS Securities, LLC	2.17%
5. National Financial Services LLC	2.08%
6. Two Sigma Securities, LLC	1.39%
7. Cboe EDGX U.S. Equities Exchange	0.18%
8. Credit Suisse Securities (USA) LLC	0.18%
9. NASDAQ Execution Services, LLC	0.16%
10. Synovus Securities Inc.	0.14%

### Information Concerning Significant Venues :

#### 1. Citadel Securities LLC

##### Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	57.02%
Limit orders as percentage of total non-directed orders	34.62%
Other orders as percentage of total non-directed orders	1.83%

#### 2. VIRTU Americas LLC

##### Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	32.26%
Limit orders as percentage of total non-directed orders	7.69%
Other orders as percentage of total non-directed orders	4.27%

#### 3. G1 Execution Services, LLC

##### Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	5.81%
Limit orders as percentage of total non-directed orders	3.85%
Other orders as percentage of total non-directed orders	0.00%

#### 4. UBS Securities, LLC

##### Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	2.16%
Limit orders as percentage of total non-directed orders	3.85%
Other orders as percentage of total non-directed orders	2.44%

5. National Financial Services LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	1.26%
Limit orders as percentage of total non-directed orders	0.00%
Other orders as percentage of total non-directed orders	45.12%

6. Two Sigma Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	1.35%
Limit orders as percentage of total non-directed orders	0.00%
Other orders as percentage of total non-directed orders	3.66%

7. Cboe EDGX U.S. Equities Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	0.00%
Limit orders as percentage of total non-directed orders	23.08%
Other orders as percentage of total non-directed orders	6.10%

8. Credit Suisse Securities (USA) LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	0.00%
Limit orders as percentage of total non-directed orders	0.00%
Other orders as percentage of total non-directed orders	9.76%

9. NASDAQ Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	0.00%
Limit orders as percentage of total non-directed orders	23.08%
Other orders as percentage of total non-directed orders	4.88%

10. Synovus Securities Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	0.14%
Limit orders as percentage of total non-directed orders	0.00%
Other orders as percentage of total non-directed orders	0.00%

## Exchange - Listed Options

### Summary Statistics:

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	75.37%
Limit orders as percentage of total non-directed orders	24.43%
Other orders as percentage of total non-directed orders	0.21%

### Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. Cboe Options Exchange	42.07%
2. NASDAQ PHLX Options	22.76%
3. BOX Option Exchange	8.87%
4. The MIAX Options Exchange	5.85%
5. Cboe EDGX Option Exchange	4.07%
6. NASDAQ Options Market	3.65%
7. NYSE Arca Options	3.55%
8. ISE Gemini Options Exchange	2.71%
9. Cboe BZX Options Exchange, Inc.	2.30%
10. NYSE American Options	1.46%

### Information Concerning Significant Venues :

#### 1. Cboe Options Exchange

##### Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	46.54%
Limit orders as percentage of total non-directed orders	28.21%
Other orders as percentage of total non-directed orders	50.00%

#### 2. NASDAQ PHLX Options

##### Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	25.07%
Limit orders as percentage of total non-directed orders	15.38%
Other orders as percentage of total non-directed orders	50.00%

#### 3. BOX Option Exchange

##### Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	10.25%
Limit orders as percentage of total non-directed orders	4.70%
Other orders as percentage of total non-directed orders	0.00%

#### 4. The MIAX Options Exchange

##### Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	5.68%
Limit orders as percentage of total non-directed orders	6.41%
Other orders as percentage of total non-directed orders	0.00%

5. Cboe EDGX Option Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	2.77%
Limit orders as percentage of total non-directed orders	8.12%
Other orders as percentage of total non-directed orders	0.00%

6. NASDAQ Options Market

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	0.83%
Limit orders as percentage of total non-directed orders	12.39%
Other orders as percentage of total non-directed orders	0.00%

7. NYSE Arca Options

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	1.80%
Limit orders as percentage of total non-directed orders	8.97%
Other orders as percentage of total non-directed orders	0.00%

8. ISE Gemini Options Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	0.83%
Limit orders as percentage of total non-directed orders	8.55%
Other orders as percentage of total non-directed orders	0.00%

9. Cboe BZX Options Exchange, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	1.39%
Limit orders as percentage of total non-directed orders	5.13%
Other orders as percentage of total non-directed orders	0.00%

10. NYSE American Options

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	1.80%
Limit orders as percentage of total non-directed orders	0.43%
Other orders as percentage of total non-directed orders	0.00%