

**Note: Broker-dealers are required to disclose any material arrangements with the venues listed in each section below, including, but not limited to, any internalization or payment for order flow arrangements.**

## 01A

**SYNOVUS SECURITIES, INC.  
1137 1ST AVENUE, 2ND FLOOR  
COLUMBUS, GA 31901  
800 332 3403**

### **SEC Rule 606 Quarterly Report for the Quarter Ending December 31, 2018**

#### **Securities Listed on New York Stock Exchange**

##### **Summary Statistics:**

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total market orders	97.20%
Limit orders as percentage of total limit orders	2.53%
Other orders as percentage of total other orders	0.27%

##### **Venues Receiving Significant Percentage of Total Non-Directed Orders:**

1. Citadel Securities LLC	50.62%
2. G1 Execution Services, LLC	19.21%
3. VIRTU Americas LLC	16.30%
4. Two Sigma Securities, LLC	6.26%
5. National Financial Services LLC	4.90%
6. New York Stock Exchange	1.09%
7. UBS Securities, LLC	0.91%
8. NASDAQ Execution Services, LLC	0.32%
9. Cboe EDGX U.S. Equities Exchange	0.30%
10. Cboe BZX U.S. Equities Exchange	0.06%

##### **Information Concerning Significant Venues :**

###### 1. Citadel Securities LLC

###### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	51.55%
Limit orders as percentage of total limit orders	15.11%
Other orders as percentage of total other orders	51.28%

###### 2. G1 Execution Services, LLC

###### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	19.64%
Limit orders as percentage of total limit orders	4.67%
Other orders as percentage of total other orders	0.00%

3. VIRTU Americas LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	16.49%
Limit orders as percentage of total limit orders	6.59%
Other orders as percentage of total other orders	38.46%

4. Two Sigma Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	6.37%
Limit orders as percentage of total limit orders	2.75%
Other orders as percentage of total other orders	0.00%

5. National Financial Services LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	5.03%
Limit orders as percentage of total limit orders	0.55%
Other orders as percentage of total other orders	0.00%

6. New York Stock Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	42.86%
Other orders as percentage of total other orders	2.56%

7. UBS Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.90%
Limit orders as percentage of total limit orders	0.82%
Other orders as percentage of total other orders	5.13%

8. NASDAQ Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	12.64%
Other orders as percentage of total other orders	0.00%

9. Cboe EDGX U.S. Equities Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	11.81%
Other orders as percentage of total other orders	0.00%

10. Cboe BZX U.S. Equities Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	2.20%
Other orders as percentage of total other orders	0.00%

## Securities Listed on The Nasdaq Stock Market

### Summary Statistics:

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total market orders	98.05%
Limit orders as percentage of total limit orders	1.69%
Other orders as percentage of total other orders	0.26%

### Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. Citadel Securities LLC	53.94%
2. VIRTU Americas LLC	18.28%
3. G1 Execution Services, LLC	16.76%
4. Two Sigma Securities, LLC	6.77%
5. National Financial Services LLC	2.32%
6. UBS Securities, LLC	0.99%
7. NASDAQ Execution Services, LLC	0.60%
8. Cboe EDGX U.S. Equities Exchange	0.26%
9. Cboe BZX U.S. Equities Exchange	0.05%
10. Investors Exchange	0.01%

### Information Concerning Significant Venues :

#### 1. Citadel Securities LLC

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	54.44%
Limit orders as percentage of total limit orders	20.57%
Other orders as percentage of total other orders	81.82%

#### 2. VIRTU Americas LLC

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	18.29%
Limit orders as percentage of total limit orders	19.86%
Other orders as percentage of total other orders	4.55%

#### 3. G1 Execution Services, LLC

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	17.02%
Limit orders as percentage of total limit orders	4.26%
Other orders as percentage of total other orders	0.00%

#### 4. Two Sigma Securities, LLC

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	6.89%
Limit orders as percentage of total limit orders	0.71%
Other orders as percentage of total other orders	0.00%

5. National Financial Services LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	2.36%
Limit orders as percentage of total limit orders	0.71%
Other orders as percentage of total other orders	0.00%

6. UBS Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	1.00%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	4.55%

7. NASDAQ Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	35.46%
Other orders as percentage of total other orders	0.00%

8. Cboe EDGX U.S. Equities Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	15.60%
Other orders as percentage of total other orders	0.00%

9. Cboe BZX U.S. Equities Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	2.84%
Other orders as percentage of total other orders	0.00%

10. Investors Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	4.55%

## Securities Listed on NYSE American or Regional Exchanges

### Summary Statistics:

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total market orders	99.42%
Limit orders as percentage of total limit orders	0.44%
Other orders as percentage of total other orders	0.14%

### Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. Citadel Securities LLC	53.72%
2. G1 Execution Services, LLC	19.82%
3. VIRTU Americas LLC	17.07%
4. Two Sigma Securities, LLC	7.18%
5. UBS Securities, LLC	1.10%
6. National Financial Services LLC	0.87%
7. NYSE American	0.09%
8. NASDAQ Execution Services, LLC	0.06%
9. NYSE Arca	0.04%
10. Synovus Securities Inc.	0.03%

### Information Concerning Significant Venues :

#### 1. Citadel Securities LLC

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	53.86%
Limit orders as percentage of total limit orders	26.47%
Other orders as percentage of total other orders	36.36%

#### 2. G1 Execution Services, LLC

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	19.93%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

#### 3. VIRTU Americas LLC

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	17.01%
Limit orders as percentage of total limit orders	23.53%
Other orders as percentage of total other orders	36.36%

#### 4. Two Sigma Securities, LLC

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	7.23%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

5. UBS Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	1.07%
Limit orders as percentage of total limit orders	2.94%
Other orders as percentage of total other orders	18.18%

6. National Financial Services LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.87%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

7. NYSE American

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	20.59%
Other orders as percentage of total other orders	0.00%

8. NASDAQ Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	14.71%
Other orders as percentage of total other orders	0.00%

9. NYSE Arca

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	8.82%
Other orders as percentage of total other orders	0.00%

10. Synovus Securities Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.03%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

## Exchange - Listed Options

### Summary Statistics:

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total market orders	77.14%
Limit orders as percentage of total limit orders	21.16%
Other orders as percentage of total other orders	1.69%

### Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. Cboe Options Exchange	43.92%
2. NASDAQ PHLX Options	22.01%
3. BOX Option Exchange	9.74%
4. The MIAX Options Exchange	5.93%
5. NASDAQ Options Market	2.86%
6. ISE Gemini Options Exchange	2.75%
7. MIAX Pearl Exchange	2.43%
8. NYSE Arca Options	2.33%
9. NYSE American Options	2.22%
10. Cboe BZX Options Exchange, Inc.	2.12%

### Information Concerning Significant Venues :

#### 1. Cboe Options Exchange

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	47.33%
Limit orders as percentage of total limit orders	35.00%
Other orders as percentage of total other orders	0.00%

#### 2. NASDAQ PHLX Options

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	21.67%
Limit orders as percentage of total limit orders	18.00%
Other orders as percentage of total other orders	87.50%

#### 3. BOX Option Exchange

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	11.11%
Limit orders as percentage of total limit orders	5.50%
Other orders as percentage of total other orders	0.00%

#### 4. The MIAX Options Exchange

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	5.21%
Limit orders as percentage of total limit orders	9.00%
Other orders as percentage of total other orders	0.00%

5. NASDAQ Options Market

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.55%
Limit orders as percentage of total limit orders	11.50%
Other orders as percentage of total other orders	0.00%

6. ISE Gemini Options Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	1.37%
Limit orders as percentage of total limit orders	8.00%
Other orders as percentage of total other orders	0.00%

7. MIAX Pearl Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	3.16%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

8. NYSE Arca Options

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	1.23%
Limit orders as percentage of total limit orders	6.50%
Other orders as percentage of total other orders	0.00%

9. NYSE American Options

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	2.61%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	12.50%

10. Cboe BZX Options Exchange, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	1.78%
Limit orders as percentage of total limit orders	3.50%
Other orders as percentage of total other orders	0.00%