

Note: Broker-dealers are required to disclose any material arrangements with the venues listed in each section below, including, but not limited to, any internalization or payment for order flow arrangements.

01A
SYNOVUS SECURITIES, INC.
1137 1ST AVENUE, 2ND FLOOR
COLUMBUS, GA 31901
800 332 3403

SEC Rule 606 Quarterly Report for the Quarter Ending December 31, 2009

Securities Listed on New York Stock Exchange

Summary Statistics :

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	74.91%
Limit orders as percentage of total non-directed orders	20.40%
Other orders as percentage of total non-directed orders	4.68%

Venues Receiving Significant Percentage of Total Non-Directed Orders :

1. Automated Trading Desk Financial Services, L.L.C.	24.76%
2. Knight Capital Markets, L.L.C.	20.81%
3. National Financial Services, L.L.C.	18.65%
4. Citadel Derivatives Group LLC	16.17%
5. UBS Securities, L.L.C.	11.75%
6. Citigroup Global Markets, Inc.	5.05%
7. Goldman, Sachs & Co.	1.68%
8. BATS Trading, Inc.	0.42%
9. Direct Edge ECN L.L.C.	0.25%
10. Synovus Securities Inc.	0.15%

Information Concerning Significant Venues :

1. Automated Trading Desk Financial Services, L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	20.14%
Limit orders as percentage of total limit orders	39.28%
Other orders as percentage of total other orders	35.39%

2. Knight Capital Markets, L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	20.24%
Limit orders as percentage of total limit orders	18.32%
Other orders as percentage of total other orders	40.74%

3. National Financial Services, L.L.C.		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	22.87%
	Limit orders as percentage of total limit orders	7.46%
	Other orders as percentage of total other orders	0.00%
4. Citadel Derivatives Group LLC		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	15.38%
	Limit orders as percentage of total limit orders	20.77%
	Other orders as percentage of total other orders	8.64%
5. UBS Securities, L.L.C.		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	12.42%
	Limit orders as percentage of total limit orders	8.59%
	Other orders as percentage of total other orders	14.81%
6. Citigroup Global Markets, Inc.		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	6.38%
	Limit orders as percentage of total limit orders	1.32%
	Other orders as percentage of total other orders	0.00%
7. Goldman, Sachs & Co.		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	2.16%
	Limit orders as percentage of total limit orders	0.28%
	Other orders as percentage of total other orders	0.00%
8. BATS Trading, Inc.		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	0.05%
	Limit orders as percentage of total limit orders	1.89%
	Other orders as percentage of total other orders	0.00%
9. Direct Edge ECN L.L.C.		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	0.00%
	Limit orders as percentage of total limit orders	1.23%
	Other orders as percentage of total other orders	0.00%
10. Synovus Securities Inc.		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	0.21%
	Limit orders as percentage of total limit orders	0.00%
	Other orders as percentage of total other orders	0.00%

Securities Listed on The Nasdaq Stock Market

Summary Statistics :

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	75.62%
Limit orders as percentage of total non-directed orders	18.31%
Other orders as percentage of total non-directed orders	6.07%

Venues Receiving Significant Percentage of Total Non-Directed Orders :

1. Knight Equity Markets, L.P.	27.41%
2. UBS Securities, L.L.C.	21.02%
3. Citadel Derivatives Group LLC	17.66%
4. National Financial Services, L.L.C.	15.06%
5. Automated Trading Desk Financial Services, L.L.C.	10.29%
6. Citigroup Global Markets, Inc.	4.98%
7. BATS Trading, Inc.	1.19%
8. Goldman, Sachs & Co.	1.19%
9. NYSE Arca	0.43%
10. Direct Edge ECN L.L.C.	0.33%

Information Concerning Significant Venues :

1. Knight Equity Markets, L.P.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	24.79%
Limit orders as percentage of total limit orders	24.85%
Other orders as percentage of total other orders	67.86%

2. UBS Securities, L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	20.63%
Limit orders as percentage of total limit orders	21.89%
Other orders as percentage of total other orders	23.21%

3. Citadel Derivatives Group LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	19.48%
Limit orders as percentage of total limit orders	15.98%
Other orders as percentage of total other orders	0.00%

4. National Financial Services, L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	17.77%
Limit orders as percentage of total limit orders	8.88%
Other orders as percentage of total other orders	0.00%

5. Automated Trading Desk Financial Services, L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	8.88%
Limit orders as percentage of total limit orders	16.57%
Other orders as percentage of total other orders	8.93%

6. Citigroup Global Markets, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	6.59%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

7. BATS Trading, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	6.51%
Other orders as percentage of total other orders	0.00%

8. Goldman, Sachs & Co.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	1.58%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

9. NYSE Arca

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	2.37%
Other orders as percentage of total other orders	0.00%

10. Direct Edge ECN L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	1.78%
Other orders as percentage of total other orders	0.00%

Securities Listed on NYSE Amex or Regional Exchanges

Summary Statistics :

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	94.47%
Limit orders as percentage of total non-directed orders	3.45%
Other orders as percentage of total non-directed orders	2.08%

Venues Receiving Significant Percentage of Total Non-Directed Orders :

1. Knight Capital Markets, L.L.C.	41.48%
2. UBS Securities, L.L.C.	22.89%
3. Citadel Derivatives Group LLC	13.87%
4. Automated Trading Desk Financial Services, L.L.C.	10.98%
5. National Financial Services, L.L.C.	10.18%
6. Domestic Securities Inc.	0.36%
7. NYSE Arca	0.08%
8. Citigroup Global Markets, Inc.	0.08%
9. Direct Edge ECN L.L.C.	0.04%
10. Goldman, Sachs & Co.	0.04%

Information Concerning Significant Venues :

1. Knight Capital Markets, L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	41.66%
Limit orders as percentage of total limit orders	37.21%
Other orders as percentage of total other orders	40.38%

2. UBS Securities, L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	23.08%
Limit orders as percentage of total limit orders	22.09%
Other orders as percentage of total other orders	15.38%

3. Citadel Derivatives Group LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	14.00%
Limit orders as percentage of total limit orders	16.28%
Other orders as percentage of total other orders	3.85%

4. Automated Trading Desk Financial Services, L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	10.35%
Limit orders as percentage of total limit orders	10.47%
Other orders as percentage of total other orders	40.38%

5. National Financial Services, L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	10.48%
Limit orders as percentage of total limit orders	8.14%
Other orders as percentage of total other orders	0.00%

6. Domestic Securities Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.25%
Limit orders as percentage of total limit orders	3.49%
Other orders as percentage of total other orders	0.00%

7. NYSE Arca

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.08%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

8. Citigroup Global Markets, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.08%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

9. Direct Edge ECN L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	1.16%
Other orders as percentage of total other orders	0.00%

10. Goldman, Sachs & Co.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	1.16%
Other orders as percentage of total other orders	0.00%

Exchange - Listed Options

Summary Statistics :

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	57.88%
Limit orders as percentage of total non-directed orders	42.12%
Other orders as percentage of total non-directed orders	0.00%

Venues Receiving Significant Percentage of Total Non-Directed Orders :

1. The Chicago Board Options Exchange	35.37%
2. The International Securities Exchange	17.79%
3. NYSE Amex Options	12.22%
4. NYSE Arca Options	12.00%
5. NASDAQ OMX PHLX	11.04%
6. Nasdaq Execution Services, L.L.C.	4.61%
7. The Boston Option Exchange	4.07%
8. Other	2.89%

Information Concerning Significant Venues :

1. The Chicago Board Options Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	35.19%
Limit orders as percentage of total limit orders	35.62%
Other orders as percentage of total other orders	0.00%

2. The International Securities Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	15.19%
Limit orders as percentage of total limit orders	21.37%
Other orders as percentage of total other orders	0.00%

3. NYSE Amex Options

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	16.85%
Limit orders as percentage of total limit orders	5.85%
Other orders as percentage of total other orders	0.00%

4. NYSE Arca Options

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	8.15%
Limit orders as percentage of total limit orders	17.30%
Other orders as percentage of total other orders	0.00%

5. NASDAQ OMX PHLX

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	8.15%
Limit orders as percentage of total limit orders	15.01%
Other orders as percentage of total other orders	0.00%

6. Nasdaq Execution Services, L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	7.04%
Limit orders as percentage of total limit orders	1.27%
Other orders as percentage of total other orders	0.00%

7. The Boston Option Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	5.37%
Limit orders as percentage of total limit orders	2.29%
Other orders as percentage of total other orders	0.00%

8. Other

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	4.07%
Limit orders as percentage of total limit orders	1.27%
Other orders as percentage of total other orders	0.00%