

**Note: Broker-dealers are required to disclose any material arrangements with the venues listed in each section below, including, but not limited to, any internalization or payment for order flow arrangements.**

**01A**  
**SYNOVUS SECURITIES, INC.**  
**1137 1ST AVENUE, 2ND FLOOR**  
**COLUMBUS, GA 31901**  
**800 332 3403**

**SEC Rule 606 Quarterly Report for the Quarter Ending September 30, 2009**

**Securities Listed on New York Stock Exchange**

**Summary Statistics :**

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	72.59%
Limit orders as percentage of total non-directed orders	21.16%
Other orders as percentage of total non-directed orders	6.24%

**Venues Receiving Significant Percentage of Total Non-Directed Orders :**

1. Automated Trading Desk Financial Services, L.L.C.	31.14%
2. Knight Capital Markets, L.L.C.	29.83%
3. Citadel Derivatives Group LLC	16.11%
4. National Financial Services, L.L.C.	13.17%
5. UBS Securities, L.L.C.	6.51%
6. Citigroup Global Markets, Inc.	1.59%
7. Direct Edge ECN L.L.C.	0.56%
8. New York Stock Exchange	0.31%
9. Pershing, L.L.C.	0.31%
10. AlterNet Securities Inc.	0.15%

**Information Concerning Significant Venues :**

1. Automated Trading Desk Financial Services, L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	29.87%
Limit orders as percentage of total limit orders	32.94%
Other orders as percentage of total other orders	39.80%

2. Knight Capital Markets, L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	27.86%
Limit orders as percentage of total limit orders	31.26%
Other orders as percentage of total other orders	47.83%

3. Citadel Derivatives Group LLC		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	15.58%
	Limit orders as percentage of total limit orders	20.41%
	Other orders as percentage of total other orders	7.69%
4. National Financial Services, L.L.C.		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	16.59%
	Limit orders as percentage of total limit orders	5.33%
	Other orders as percentage of total other orders	0.00%
5. UBS Securities, L.L.C.		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	6.99%
	Limit orders as percentage of total limit orders	5.42%
	Other orders as percentage of total other orders	4.68%
6. Citigroup Global Markets, Inc.		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	2.10%
	Limit orders as percentage of total limit orders	0.30%
	Other orders as percentage of total other orders	0.00%
7. Direct Edge ECN L.L.C.		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	0.00%
	Limit orders as percentage of total limit orders	2.66%
	Other orders as percentage of total other orders	0.00%
8. New York Stock Exchange		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	0.17%
	Limit orders as percentage of total limit orders	0.89%
	Other orders as percentage of total other orders	0.00%
9. Pershing, L.L.C.		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	0.43%
	Limit orders as percentage of total limit orders	0.00%
	Other orders as percentage of total other orders	0.00%
10. AlterNet Securities Inc.		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	0.17%
	Limit orders as percentage of total limit orders	0.10%
	Other orders as percentage of total other orders	0.00%

**Securities Listed on The Nasdaq Stock Market**

**Summary Statistics :**

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	74.87%
Limit orders as percentage of total non-directed orders	17.85%
Other orders as percentage of total non-directed orders	7.29%

**Venues Receiving Significant Percentage of Total Non-Directed Orders :**

1. Knight Equity Markets, L.P.	30.52%
2. Citadel Derivatives Group LLC	20.91%
3. Automated Trading Desk Financial Services, L.L.C.	19.96%
4. UBS Securities, L.L.C.	17.00%
5. National Financial Services, L.L.C.	8.87%
6. Citigroup Global Markets, Inc.	2.11%
7. Direct Edge ECN L.L.C.	0.53%
8. Pershing, L.L.C.	0.11%

**Information Concerning Significant Venues :**

1. Knight Equity Markets, L.P.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	26.94%
Limit orders as percentage of total limit orders	28.99%
Other orders as percentage of total other orders	71.01%

2. Citadel Derivatives Group LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	22.71%
Limit orders as percentage of total limit orders	21.30%
Other orders as percentage of total other orders	1.45%

3. Automated Trading Desk Financial Services, L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	19.75%
Limit orders as percentage of total limit orders	20.71%
Other orders as percentage of total other orders	20.29%

4. UBS Securities, L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	16.36%
Limit orders as percentage of total limit orders	23.67%
Other orders as percentage of total other orders	7.25%

5. National Financial Services, L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	11.28%
Limit orders as percentage of total limit orders	2.37%
Other orders as percentage of total other orders	0.00%

6. Citigroup Global Markets, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	2.82%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

7. Direct Edge ECN L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	2.96%
Other orders as percentage of total other orders	0.00%

8. Pershing, L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.14%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

## Securities Listed on NYSE Amex or Regional Exchanges

### Summary Statistics :

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	95.32%
Limit orders as percentage of total non-directed orders	3.09%
Other orders as percentage of total non-directed orders	1.59%

### Venues Receiving Significant Percentage of Total Non-Directed Orders :

1. Knight Capital Markets, L.L.C.	57.27%
2. Citadel Derivatives Group LLC	15.84%
3. UBS Securities, L.L.C.	10.72%
4. Automated Trading Desk Financial Services, L.L.C.	10.65%
5. National Financial Services, L.L.C.	5.21%
6. Domestic Securities Inc.	0.18%
7. NYSE Arca	0.12%

### Information Concerning Significant Venues :

1. Knight Capital Markets, L.L.C.	
Types of Orders Routed to Venue :	
Market orders as percentage of total market orders	57.81%
Limit orders as percentage of total limit orders	55.22%
Other orders as percentage of total other orders	28.99%
2. Citadel Derivatives Group LLC	
Types of Orders Routed to Venue :	
Market orders as percentage of total market orders	16.40%
Limit orders as percentage of total limit orders	5.97%
Other orders as percentage of total other orders	1.45%
3. UBS Securities, L.L.C.	
Types of Orders Routed to Venue :	
Market orders as percentage of total market orders	10.52%
Limit orders as percentage of total limit orders	16.42%
Other orders as percentage of total other orders	11.59%
4. Automated Trading Desk Financial Services, L.L.C.	
Types of Orders Routed to Venue :	
Market orders as percentage of total market orders	9.72%
Limit orders as percentage of total limit orders	17.16%
Other orders as percentage of total other orders	53.62%
5. National Financial Services, L.L.C.	
Types of Orders Routed to Venue :	
Market orders as percentage of total market orders	5.42%
Limit orders as percentage of total limit orders	1.49%
Other orders as percentage of total other orders	0.00%

6. Domestic Securities Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.05%
Limit orders as percentage of total limit orders	2.24%
Other orders as percentage of total other orders	4.35%

7. NYSE Arca

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.07%
Limit orders as percentage of total limit orders	1.49%
Other orders as percentage of total other orders	0.00%

## Exchange - Listed Options

### Summary Statistics :

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	57.06%
Limit orders as percentage of total non-directed orders	42.94%
Other orders as percentage of total non-directed orders	0.00%

### Venues Receiving Significant Percentage of Total Non-Directed Orders :

1. The Chicago Board Options Exchange	33.80%
2. The International Securities Exchange	23.01%
3. NYSE Arca Options	14.29%
4. NASDAQ OMX PHLX	14.29%
5. NYSE Amex Options	6.98%
6. The Boston Option Exchange	3.57%
7. Nasdaq Execution Services, L.L.C.	3.07%
8. Other	1.00%

### Information Concerning Significant Venues :

#### 1. The Chicago Board Options Exchange

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	34.50%
Limit orders as percentage of total limit orders	32.88%
Other orders as percentage of total other orders	0.00%

#### 2. The International Securities Exchange

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	25.76%
Limit orders as percentage of total limit orders	19.34%
Other orders as percentage of total other orders	0.00%

#### 3. NYSE Arca Options

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	15.72%
Limit orders as percentage of total limit orders	12.38%
Other orders as percentage of total other orders	0.00%

#### 4. NASDAQ OMX PHLX

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	5.39%
Limit orders as percentage of total limit orders	26.11%
Other orders as percentage of total other orders	0.00%

#### 5. NYSE Amex Options

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	8.59%
Limit orders as percentage of total limit orders	4.84%
Other orders as percentage of total other orders	0.00%

6. The Boston Option Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	4.66%
Limit orders as percentage of total limit orders	2.13%
Other orders as percentage of total other orders	0.00%

7. Nasdaq Execution Services, L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	4.08%
Limit orders as percentage of total limit orders	1.74%
Other orders as percentage of total other orders	0.00%

8. Other

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	1.31%
Limit orders as percentage of total limit orders	0.58%
Other orders as percentage of total other orders	0.00%